



Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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EFS ID: 58519  
Application ID: 09816962  
Title of Invention: Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer  
First Named Inventor: Er-Xuan Ping  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2001-03-23  
Effective Receipt Date: 2004-04-06  
Submission Type: Information Disclosure Statement  
Filing Type:  
Confirmation number: 8677  
Attorney Docket Number: MTI-31041




Total Fees Authorized:

Digital Certificate Holder: cn=Kristine M. Strodthoff,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
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**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

<b>Title of Invention</b>	Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer									
Application Number: 09/816962 										
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First Named Applicant: Er-Xuan Ping										
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Kristine M. Strodthoff Registered Number: 34259</td><td>Kristine M. Strodthoff</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Kristine M. Strodthoff Registered Number: 34259	Kristine M. Strodthoff	Attorney		
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Kristine M. Strodthoff Registered Number: 34259	Kristine M. Strodthoff	Attorney								
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>MTI-31041-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	MTI-31041-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files									
us-ids	MTI-31041-usidst.xml									
	us-ids.dtd									
	us-ids.xsl									
<b>Comments</b>										



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

Method for Forming Raised Structures by Controlled  
Selective Epitaxial Growth of Facet Using Spacer

Application Number: 09/816962



Confirmation Number: 8677

First Named Applicant: Er-Xuan Ping

Attorney Docket Number: MTI-31041

Art Unit: 2812

Examiner: Angel Roman

Search string: ( 5483094 or 5574299 or 5595920 or 5612230  
or 5612563 or 5627395 or 5780327 or 5886382  
or 5872374 or 5994735 or 6037202 or 6268621  
or 6448129 or 6455377 or 20020093054 ),pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5483094	1996-01-09	Sharma et al.		257	316
	2	5574299	1996-11-12	Kim		257	296
	3	5595920	1997-01-21	Miyawaki et al.		437	40
	4	5612230	1997-03-18	Yuzurihara et al.		437	21
	5	5612563	1997-03-18	Fitch et al.		257	329
	6	5627395	1997-05-06	Witek et al.		257	350
	7	5780327	1998-07-14	Chu et al.		438	156
	8	5886382	1999-03-23	Witek		257	329
	9	5872374	1999-02-16	Tang et al.		257	328
	10	5994735	1999-11-30	Maeda et al.		257	329
	11	6037202	2000-03-14	Witek		438	212
	12	6268621	2001-07-31	Emmi et al.	B1	257	302
	13	6448129	2002-09-10	Cho et al.	B1	438	241
	14	6455377	2002-09-24	Zheng et al.	B1	438	268

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020093054	2002-07-18	Yeh et al.	A1	257	351

Signature

Examiner Name	Date